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Total Number of Pages in This Submission

Application Number	09/954,692				
Filing Date	September 12, 2001				
First Named Inventor	Phillip A. Patten				
Group Art Unit	1634				
Examiner Name	Juliet Switzer				
Attorney Docket Number	0103.12B-US				

ENCLOSURES (check all that apply)							
Fee Transm	nittal Form		Assignment Papers (for an Application)	x	After Allowance Communication to Group		
Fee A	Attached		Drawing(s)		Appeal Communication to Board of Appeals and Interferences		
Amendmen	nt / Response		Licensing-related Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
X Afte	er Finat Allowance		Petition Routing Slip (PTO/SB/69) and Accompanying Petition		Proprietary Information		
Affic	davits/declaration(s)		Petition to Convert to a Provisional Application		Status Letter		
Extension of	of Time Request		Power of Attorney, Revocation Change of Correspondence Address	x	Additional Enclosure(s) (please identify below):		
	andonment Request Disclosure Statement		Terminal Disclaimer  Small Entity Statement		New paper copy of Sequence Listing; Commun. re Submission of New Paper Copy of Seq. Listing; Commun. re Submission of Substitute Specification; Substitute		
	Disclosure Glatement		Request for Refund		Specification; Return Postcard		
Document(	opy of Priority s) to Missing Parts/ Application	Authorization to Charge Deposit Account Please charge Deposit Account No. 50-0990 for any additional fees associated with this paper or during the pendency of this application, including any extensions of time for consideration of the documents enclosed.					
Response to Missing Parts under 37 CFR 1.52 or 1.53		Remarks Submissions made pursuant to request from Examiner Juliet Switzer					
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT							
Firm or Individual name Margaret A. Powers – Reg. No. 39,804							
Signature	Signature Mayet alaun						
Date January 24, 2005							

## **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the US Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, Mail Stop: Box Issue Fee on this date: January 24, 2005

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on January 24, 2005

Margaret A Powers

Attorney Docket No.: 0103.12B-US (formerly Attorney Docket No. 02-

020522US)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Phillip A. Patten et al.

Application No.: 09/954,692

Filed: September 12, 2001

FOR POLYPEPTIDE ENGINEERING

Examiner: Juliet Switzer

Art Unit: 1634

COMMUNICATION REGARDING SUBMISSION OF SUBSTITUTE SPECIFICATION UNDER

37 CFR 1.125(a)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Mail Stop: Issue Fee

Dear Sir:

Pursuant to a request from Examiner Switzer and the Office of Patent Publications, Applicants hereby submit a substitute specification under 37 CFR 1.125(a) for the above- identified application, since the print quality of the originally submitted specification was allegedly poor. The original specification comprised text specification pages 1-101, claims on pages 102-133, an Abstract on page 134, and eight sheets of figures. Examiner Switzer has requested that we submit only substitute pages for text pages 1-101 of the specification and that we do not need to file substitute pages for the originally filed claims (pages 102-133), Abstract (page 134), or figures (eight sheets). Therefore, please replace pages 1-101 of the originally filed specification with the enclosed substitute specification pages 1-101. This substitute specification is identical to the specification originally filed and presents no new matter.

Application No.: 09/954,692

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Pursuant to a request from Examiner Switzer for the convenience of the Office, Applicants are concurrently submitting herewith an Amendment Under 37 CFR § 1.312, which provides all amendments to be made to this substitute specification comprising pages 1-101, including those made previously during prosecution of this application. This Amendment also contains several amendments to the specific paragraphs in the specification to include Sequence Identification Numbers (SEQ ID NOS), pursuant to a request from Examiner Switzer. Thus, all necessary amendments to this substitute specification are being provided in the Amendment Under 37 CFR § 1.312 submitted concurrently herewith. Conveniently, the Office will not need to review the prosecution file for any additional amendments to this substitute specification.

Applicants note that formal drawings (with amendments) were submitted previously during prosecution. In addition, Applicants note that a new paper copy of the Sequence Listing is being submitted concurrently herewith pursuant to a request from Examiner Switzer.

If any further information or action is needed, the Examiner is invited to telephone the undersigned at (650) 298-5809.

Respectfully submitted,

Margaret A. Powers Attorney for Applicants

Market allaces

Reg. No. 39,804

January 24, 2005 Maxygen, Inc. Intellectual Property Department 515 Galveston Drive Redwood City, CA 95063

Telephone: 650-298-5300 Facsimile: 650-298-5446 Customer No.: 30560